

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventor: Yves AUDEBERT et al. Group Art Unit: 3692
Appln. No.: 09/996,576 Examiner: C. Graham
Filed: November 30, 2001 Conf. No. 3802
For: FINANCIAL RISK MANAGEMENT SYSTEM AND METHOD

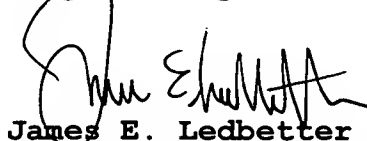
REQUEST FOR CONSIDERATION OF
INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, DC 20231

Dear Sir:

With respect to the Information Disclosure Statement filed November 30, 2001, applicants respectfully request that the Examiner initial and return a copy of the previously supplied Form PTO-1449 indicating consideration of the references; for the convenience of the Examiner, a copy of that Form PTO-1449 is attached, as well as a copy of the IDS and PTO date-stamped filing receipt.

Respectfully submitted,



James E. Ledbetter
Registration No. 28,732

Date: February 6, 2007

JEL/jpf

Attorney Docket No. L741.01110

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.
1615 L STREET, NW, Suite 850
P.O. Box 34387
WASHINGTON, DC 20043-4387
Telephone: (202) 785-0100
Facsimile: (202) 408-5200



COPY

The Official Dating Stamp of the Patent and Trademark Office Hereon is acknowledgment of the filing of:

New Patent Application: 6 pgs. Spec., 43 Claims, Abstract, 4 sheets of drwgs. (Figs. 1- 4); executed Declaration; and PTO-1595 w/Assignment; Information Disclosure Statement w/PTO-1449, w/ 4 US pat. docs.

Description

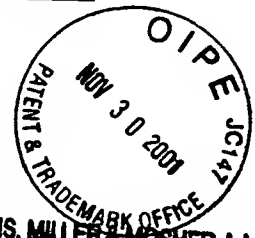
of paper: New Utility Patent Transmittal (in dup.) w/check no. 17412 for \$617.00

Name of

Applicant(s): Yves AUDEBERT, et al.

Serial No.: New Patent Application

Atty. File No.: L741.01110 Sender's Initials: JEL/ejw



STEVENS, DAVIS, MILLER & MOSHER, LLP.
1615 L Street, NW, Suite 850
P.O. Box 34387
Washington, DC 20043-4387



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Inventors: Yves AUDEBERT, et al.

Application No.: New Patent Application

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For: FINANCIAL RISK MANAGEMENT SYSTEM AND METHOD

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of
Patents and Trademarks
Washington, DC 20231

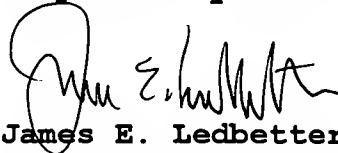
Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the reference(s) listed on the attached Form PTO 1449.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106.

Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,



James E. Ledbetter
Registration No. 28,732

Date: November 30, 2001

JEL/spp

ATTORNEY DOCKET NO. L741.01110

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.
1615 L Street, NW, Suite 850
P.O. Box 34387
Washington, DC 20043-4387
Telephone: (202) 785-0100
Facsimile: (202) 408-5200

FORM PTO-1449 U.S. Department of Commerce
(Rev. 4/92) Patent and Trademark Office

ATTY. DOCKET NO.

L741.01110

SERIAL NO.

New Patent Application

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Yves AUDEBERT, et al.

FILING DATE

November 30, 2001

GROUP

Unassigned

~~U.S. PATENT DOCUMENTS~~

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.